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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

19

Application Number

10/698,798

Filing Date

October 31, 2003

Confirmation Number

Unassigned

First Named Inventor

Paul A. Spence et al.

Group Art Unit

Unassigned

Examiner Name

Unassigned

Attorney Docket Number

GUID-024CON9

### ENCLOSURES (check all that apply)

- Fee Transmittal Form
  - Fee Attached
- Amendment / Reply
  - After Final
  - Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement (2 Pgs.)
- Certified Copy of Priority Documents
- Response to Missing Parts/ Incomplete Application
  - Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
  - Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Revocation, Power of Attorney Change of Correspondence Address
- Statement Under 37CFR 3.73(b)
- Request for Refund
- CD, Number of CD(s) \_\_\_\_\_

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):  
**PTO Forms 1449 (16 Pgs.) Postcard**

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name

ALAN W. CANNON, Reg. No. 34,977

Signature

Date

Nov. 26, 2003

### CERTIFICATE OF MAILING

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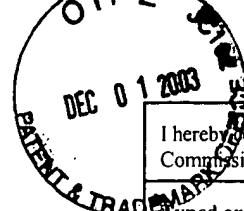
Maria J. Sousa

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Maria J. Sousa

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**INFORMATION DISCLOSURE  
STATEMENT**

Address to:  
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Alexandria, VA 22313-1450

Attorney Docket	GUID-024CON9
First Named Inventor	Paul A. Spence, et al.
Application Number	10/698,798
Filing Date	October 31, 2003
Group Art Unit	Unassigned
Examiner Name	Unassigned
Title	Device to Permit Offpump Beating Heart Coronary Bypass Surgery

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration.

Forms PTO-1449 listing the references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record. Copies of the references identified herein were disclosed in parent application Serial No. 09/956,418, filed September 18, 2001, which is a Continuation of 09/087,511, filed May 29, 1998, (now U.S Patent No. 6,338,712); which a CIP of 08/936,184, filed September 17, 1997, (now U.S. Patent No. 6,019,722). Copies of the U.S. references cited are not included herein, pursuant to the waiver of the requirement under 37 CFR 1.98(a)(2)(i) for patent applications filed after June 30, 2003, provisions of 37 C.F.R. Section 1.98(d).

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

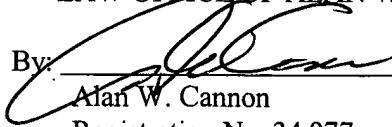
As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-2653, order No. GUID-024CON9 may be charged therefore.

Date: Nov. 26, 2007

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Respectfully submitted,  
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By:

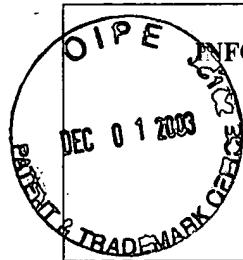
  
Alan W. Cannon  
Registration No. 34,977

<b>INFORMATION DISCLOSURE CITATION</b> Form PTO-1449 (Modified) <i>(Use several sheets if necessary)</i>	ATTY. DOCKET NO. GUID-024CON9	SERIAL NO. 10/698,798
	Confirmation Number Unassigned	
	<b>APPLICANT</b> Paul A. Spence, et al.	
	FILING DATE October 31, 2003	GROUP Not Yet Assigned

**US PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
A1	452,131	5/12/1891	Haughawout			
A2	810,675	01/23/1906	Richter			
A3	1,706,500	03/26/1929	Smith			
A4	2,082,782	6/08/1937	Allen			
A5	2,296,793	09/22/1942	Kirschbaum			
A6	2,590,527	03/25/1952	Fluck			
A7	2,693,795	11/09/1954	Grieshaber			
A8	2,863,444	12/09/1958	Winsten			
A9	3,466,079	09/09/1969	W.K. Mammel			
A10	3,912,317	10/14/1975	Makoto Ohnaka			
A11	3,916,909	11/4/1975	Kletschka, et al.			
A12	3,361,133	01/02/1968	Kimberley et al.			
A13	3,392,722	07/16/1968	Jorgensen			
A14	3,584,822	06/15/1971	Oram			
A15	3,683,926	08/15/1972	Suzuki			
A16	3,720,433	03/13/1973	Rosfelder			
A17	3,783,873	01/08/1974	Harvey Barry Jacobs			
A18	3,807,406	04/30/1974	Rafferty et al.			
A19	3,858,926	01/07/1975	Ottenhues			
A20	3,882,855	05/13/1975	Shulte et al.			
A21	3,983,863	10/05/1976	Janke et al.			
A22	4,047,532	09/13/1977	Phillips et al.			
A23	4,048,987	09/20/1977	Hurson			

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

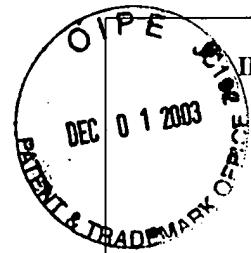


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A24	4,049,000	09/20/1977	Williams			
A25	4,049,002	09/20/1977	Kletschka et al.			
A26	4,049,484	09/20/1977	Priest et al.			
A27	4,052,980	10/11/1977	Grams et al			
A28	4,094,484	06/13/1978	Edward R. Galione			
A29	4,096,853	06/27/1978	Hanfried Weigand			
A30	4,096,864	06/27/1978	Kletschka et al.			
A31	4,217,890	8/19/1980	Owens			
A32	4,226,228	10/07/1980	Shin et al.			
A33	4,230,119	10/28/1980	Blum			
A34	4,300,564	11/17/1981	Furihata			
A35	4,306,561	12/22/1981	Luis de Medinaceli			
A36	4,350,160	09/21/1982	Kolesov et al.			
A37	4,366,819	01/04/1983	Kaster			
A38	4,368,736	01/18/1983	Kaster			
A39	4,421,107	12/20/1983	Estes et al.			
A40	4,428,368	01/31/1984	Torii			
A41	4,434,791	03/06/1984	Darnell			
A42	4,457,300	07/03/1984	Budde			
A43	4,461,284	07/24/1984	Fackler			
A44	4,492,229	01/28/1985	Grunwald			
A45	4,617,916	10/21/1986	LeVahn et al.			
A46	4,627,421	12/09/1986	Symbas et al.			
A47	4,637,377	01/20/1987	Loop			
A48	4,646,747	03/03/1987	Lundback			
A49	4,688,570	08/25/1987	Kramer et al.			

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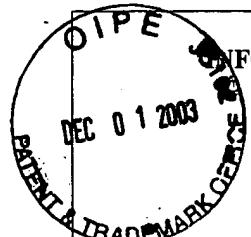


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APPLICANT	Paul A. Spence, et al.
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A50	4,702,230	10/27/1987	Pelta			
A51	Des. 293,470	12/29/1987	Adler			
A52	4,718,418	01/12/1988	L'Esperance, Jr.			
A53	4,726,356	02/23/1988	Santilli et al.			
A54	4,726,358	02/23/1988	Brady			
A55	4,736,749	04/12/1988	Lundback			
A56	4,747,395	05/31/1988	Brief			
A57	4,754,746	07/05/1988	Cox			
A58	4,803,984	02/14/1989	Narayanan et al.			
A59	4,808,163	02/28/1989	Laub			
A60	4,827,926	05/09/1989	Carol			
A61	4,829,985	05/16/1989	Couetil			
A62	4,841,967	06/27/1989	Chang et al.			
A63	4,852,552	08/01/1989	Chaux			
A64	4,854,318	08/08/1989	Solem et al.			
A65	4,858,552	08/22/1989	Glatt et al.			
A66	4,863,133	09/05/1989	Bonnell			
A67	4,865,019	09/12/1989	Phillips			
A68	4,884,559	12/05/1989	Collins			
A69	4,904,012	02/27/1990	Nishiguchi et al.			
A70	4,925,443	05/15/1990	Heilman et al.			
A71	4,949,707	08/21/1990	LeVahn et al.			
A72	4,949,927	08/21/1990	Madocks et al.			
A73	4,955,896	09/11/1990	freeman			
A74	4,957,477	09/18/1990	Lundback			
A75	4,962,758	10/16/1990	Lasner et al.			

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10/698,798

Confirmation Number Unassigned

## APPLICANT

Paul A. Spence, et al.

FILING DATE  
October 31, 2003GROUP  
Not Yet Assigned

	A76	4,971,037	11/02/1990	Pelta			
	A77	4,973,300	11/27/1990	Wright			
	A78	4,989,587	02/05/1991	Farley			
	A79	4,991,578	02/12/1991	Cohen			
	A80	4,993,862	02/19/1991	Pelta			
	A81	5,009,660	04/23/1991	Clapham			
	A82	5,011,469	04/30/1991	Buckberg et al.			
	A83	5,019,086	05/28/1991	Neward			
	A84	5,025,779	06/25/1991	Bugge			
	A85	5,036,868	08/06/1991	Berggren et al.			
	A86	5,037,428	08/06/1991	Picha et al.			
	A87	5,052,373	10/01/1991	Michelson			
	A88	5,053,041	10/01/1991	Ansari et al.			
	A89	5,080,088	01/14/1992	LeVahn			
	A90	5,098,369	03/24/1992	Heilman et al.			
	A91	5,119,804	06/09/1992	Anstadt			
	A92	5,125,395	06/30/1992	Adair			
	A93	5,131,905	07/21/1992	Grooters			
	A94	5,133,724	07/28/1992	Wilson Jr. et al.			
	A95	5,139,517	08/18/1992	Corral			
	A96	5,150,706	09/29/1992	Cox et al.			
	A97	5,152,777	10/06/1992	Goldberg et al.			
	A98	5,159,921	11/03/1992	Hoover			
	A99	5,167,223	12/01/1992	Koros et al.			
	A100	5,171,254	12/15/1992	Sher			
	A101	Re. 34,150	12/29/1992	Santilli, deceased et al.			

EXAMINER

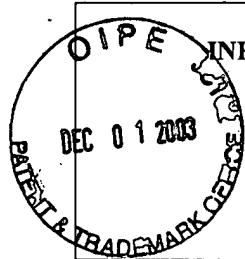
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				GUID-024CON9	10/698,798		
				<b>Confirmation Number</b>		<b>Unassigned</b>	
				<b>APPLICANT</b> Paul A. Spence, et al.			
<b>FILING DATE</b> October 31, 2003			<b>GROUP</b> Not Yet Assigned				
	A102	5,196,003	03/23/1993	Bilweis			
	A103	5,192,070	05/09/1993	Nagai et al.			
	A104	5,231,974	08/03/1993	Giglio et al.			
	A105	5,256,132	10/26/1993	Snyders			
	A106	5,268,640	12/07/1993	Du et al.			
	A107	5,287,861	02/22/1994	Wilk			
	A108	5,290,082	03/01/1994	Palmer et al.			
	A109	5,293,863	03/15/1994	Zhu et al.			
	A110	5,300,087	04/05/1994	Knoepfler			
	A111	5,318,013	07/07/1994	Wilk			
	A112	5,336,252	08/09/1994	Cochen			
	A113	5,348,259	09/20/1994	Blanco et al.			
	A114	5,363,882	11/15/1994	Chikama			
	A115	5,382,756	01/17/1995	Dagan			
	A116	5,383,840	01/24/1995	Heilman et al.			
	A117	5,417,709	05/23/1995	Slater			
	A118	5,425,705	06/20/1995	Evard et al.			
	A119	5,437,651	08/01/1995	Todd et al.			
	A120	5,452,733	09/26/1995	Sterman et al.			
	A121	5,453,078	09/26/1995	Valentine et al.			
	A122	5,467,763	11/21/1995	McMahon et al.			
	A123	5,480,425	01/02/1996	Ogilive			
	A124	5,484,391	01/16/1996	Buckman Jr. et al.			
	A125	5,498,256	03/12/1996	Furnish			
	A126	5,503,617	04/02/1996	Jako			
	A127	5,509,890	04/23/1996	Kazama			

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10/698,798Confirmation Number  
Unassigned**APPLICANT**

Paul A. Spence, et al.

FILING DATE  
October 31, 2003GROUP  
Not Yet Assigned

A128	5,512,037	04/30/1996	Russell et al.				
A129	5,514,075	05/07/1996	Moll et al.				
A130	5,514,076	05/07/1996	Ley				
A131	5,520,610	05/28/1996	Giglio et al.				
A132	5,522,819	06/04/1996	Graves et al.				
A133	5,529,571	06/25/1996	Daniel				
A134	5,536,251	07/16/1996	Evard et al.				
A135	5,547,458	08/20/1996	Ortiz et al.				
A136	5,569,274	10/29/1996	Rapacki et al.				
A137	5,571,074	11/05/1996	Buckman Jr. et al.				
A138	5,571,215	11/05/1996	Sterman et al.				
A139	5,573,496	11/12/1996	McPherson et al.				
A140	5,582,580	12/10/1996	Buckman Jr. et al.				
A141	5,607,421	03/04/1997	Jeevanandam et al.				
A142	5,607,446	03/04/1997	Beehler et al.				
A143	5,613,937	03/25/1997	Garrison et al.				
A144	5,632,746	05/27/1997	Middleman et al				
A145	5,651,378	07/29/1997	Metheny et al.				
A146	5,662,300	09/02/1997	Michelson				
A147	5,667,480	09/16/1997	Knight et al.				
A148	5,713,951	02/03/1998	Garrison et al.				
A149	5,727,569	03/17/1998	Benetti et al.				
A150	5,728,151	03/17/1998	Garrison et al.				
A151	5,730,757	03/24/1998	Benetti et al.				
A152	5,735,290	04/07/1998	Sterman et al.				
A153	5,749,892	05/12/1998	Vierra et al.				

**EXAMINER****DATE CONSIDERED**

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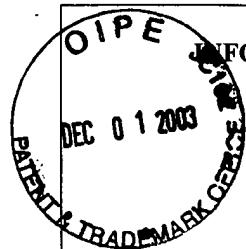


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APPLICANT	Paul A. Spence, et al.
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A154	5,755,660	05/26/1998	Tyagi				
A155	5,766,151	06/19/1998	Valley et al.				
A156	5,772,583	06/30/1998	Wright et al.				
A157	5,782,746	07/21/1998	Wright				
A158	5,795,291	08/18/1998	Koros et al.				
A159	5,797,960	08/25/1998	Stevens et al.				
A160	5,799,661	09/01/1998	Boyd et al.				
A161	5,807,243	09/15/1998	Vierra et al.				
A162	5,813,410	09/29/1998	Levin				
A163	5,818,231	10/06/1998	Smith				
A164	5,836,311	11/17/1998	Borst et al.				
A165	5,864,275	01/26/1999	Ohashi et al.				
A166	5,888,247	03/30/1999	Benetti				
A167	5,885,271	03/23/1999	Hamilton et al.				
A168	5,865,730	02/02/1999	Fox et al.				
A169	5,894,843	04/20/1999	Benetti et al.				
A170	5,899,425	04/04/1999	Corey Jr. et al.				
A171	5,891,017	04/06/1999	Swindle et al.				
A172	5,906,607	05/25/1999	Taylor et al.				
A173	5,908,378	06/01/1999	Kovacs et al.				
A174	5,921,979	07/13/1999	Kovacs et al.				
A175	5,927,284	07/27/1999	Borst et al.				
A176	5,947,896	09/07/1999	Sherts et al.				
A177	5,957,835	09/28/1999	Anderson et al.				
A178	5,976,069	11/02/1999	Navia et al.				
A179	5,984,864	11/16/1999	Fox et al.				

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A180	5,967,973	10/19/1999	Sherts et al.			
A181	5,976,080	11/02/1999	Farascioni et al.			
A182	6,013,027	01/11/2000	Khan et al.			
A183	6,015,378	01/18/2000	Borst et al.			
A184	6,015,427	01/18/2000	Mueller et al.			
A185	6,019,722	02/01/2000	Spence et al.			
A186	6,032,672	03/07/2000	Taylor			
A187	6,033,362	03/07/2000	Cohn			
A188	6,036,641	03/14/2000	Taylor et al.			
A189	6,042,539	03/28/2000	Harper et al.B			
A190	6,110,187	08/29/2000	Brian S. Donlon			
A191	6,139,492	10/31/2000	Vierra et al.			
A192	6,149,583	11/21/2000	Vierra et al.			
A193	6,159,201	12/12/2000	Hamilton et al.			
A194	6,183,486	02/06/2001	Snow et al.			
A195	6,210,323	04/03/2001	Gilhuly et al.			
A196	6,213,940	04/10/2001	Sherts et al.			
A197	6,231,585	05/15/2001	Takahashi et al.			
A198	6,251,065	06/26/2001	Kochamba			
A199	6,264,605	07/24/2001	Scirica et al.			
A200	6,315,717	11/13/2001	Benetti et al.			
A201	6,328,688	12/11/2001	Borst et al.			
A202	6,334,843	01/01/2002	Borst et al.			
A203	6,336,898	01/08/2002	Borst et al.			
A204	6,338,712	01/15/2002	Spence et al.			
A205	6,346,077	02/12/2002	Taylor et al.			

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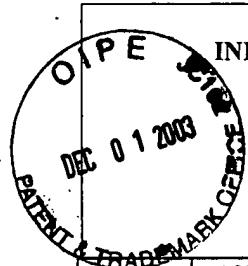
Paul A. Spence, et al.

FILING DATE  
October 31, 2003GROUP  
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A206	6,350,229	02/26/2002	Borst et al.				
A207	6,361,493	03/26/2002	Spence et al.				
A208	6,364,826	04/02/2002	Borst et al.				
A209	6,371,906	04/16/2002	Borst et al.				
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A213	6,394,948	05/28/2002	Borst et al.				
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	A233	6,610,008	08/26/2003	Spence et al.				
	A234	6,610,009	08/26/2003	Wayne C. Person				

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10/698,798

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